

Sector #
#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

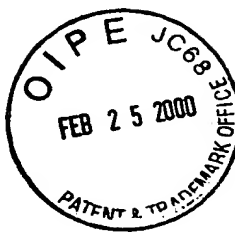
In re Application of

Hiroki MAEDA et al.

Serial No.: 09/477,725

Filed: January 5, 2000

For: INFORMATION RECORDING MEDIUM



NOTIFICATION OF PRIOR SUBMISSION OF
ORIGINAL EXECUTED DECLARATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts dated February 17, 2000, applicants' representatives filed all the requested documents with the U.S. PTO on February 4, 2000. Attached hereto are photocopies of applicants' representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the PTO's copy of the Notice to File Missing Parts.

The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

February 25, 2000
Date

RWP/jck

Attorney Docket No. DAIN:540

PARKHURST & WENDEL, L.L.P.
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Roger W. Parkhurst
Registration No. 25,177

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331
PARKHURST & WENDEL

Atty. Docket No. **DAIN:540**

Description: **Submission of Original Executed Declaration, Orig. Exec. Decl, Ck#12367**

\$860.00, Assign Transmittal, Orig. Exec Assign., Claim for Priority, JP11-002955

Inventor(s): **Hiroki MAEDA et al**

Title (new cases):

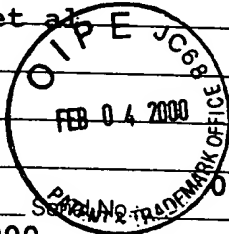
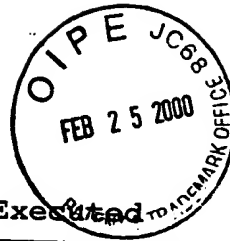
Attorney: **RWP/jck**

Paper(s) Filed: **February 4, 2000**

Date(s) Satisfied: **February 5, 2000**

N.D.D.: **None**

2286



DAIN: 540

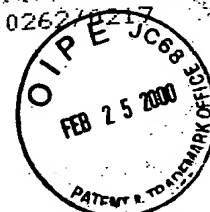
BWP

4.17.2000 - MP & Fees Due
4.3.00 - C/P MP & Fees Due 4.17.00

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/477,725	01/05/00	MAEDA	H DAIN-540

PARKHURST & WENDEL LLP
1421 PRINCE ST
STE 210
ALEXANDRIA VA 22314-2805



1772

DATE MAILED:

02/17/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 690.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 690.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

BWP 222 00
CMM 2-23 00

02/08/2000 CUBRACH 00000012 0947725
690.00 OP
130.00 OP
01 FC:101
02 FC:105